

#4



Docket No.: 43890-519

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Katsumi MURAI, et al.

Serial No.: 09/864,283

Group Art Unit: 2818

Filed: May 25, 2001

Examiner:

For: SEMICONDUCTOR MEMORY AND CONTROLLING METHOD THEREOF

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Washington, DC 20231

Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. It is noted that the priority information is incorrect on the Filing Receipt. Attached is a copy of the Declaration and Power of Attorney, which evidences that the correct priority information should read: **JAPAN 2000-156332 5/26/2000**.

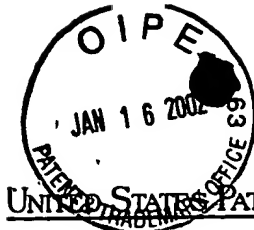
It is requested that a corrected Filing Receipt be issued.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

Michael E. Fogarty
Registration No. 36,139

600 13th Street, N.W.
Washington, DC 20005-3096
(202) 756-8000 MEF:prp
Date: January 16, 2002
Facsimile: (202) 756-8087



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/864,283	05/25/2001	2818	0.00	43890-519	19	16	11

CONFIRMATION NO. 1236

20277
MCDERMOTT WILL & EMERY
600 13TH STREET, N.W.
WASHINGTON, DC 20005-3096

FILING RECEIPT



OC000000007079616

Date Mailed: 11/16/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Katsumi Murai, Residence Not Provided;
Jun Horikawa, Residence Not Provided;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2000-156332 ^{5/26/2000} ~~05/25/2000~~

If Required, Foreign Filing License Granted 09/05/2001

Pr jected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Semiconductor memory and controlling method thereof

Preliminary Class

365



Serial No.: 43890-519

See also
Receipt
PATENT
3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :
:
Katsumi MURAI, et al. :
:
Serial No.: 09/864,283 : Group Art Unit: 2818
:
Filed: May 25, 2001 : Examiner:
:
For: SEMICONDUCTOR MEMORY AND CONTROLLING METHOD THEREOF

**RESPONSE TO NOTICE
OF MISSING PARTS OF APPLICATION**

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice of Missing Parts of Application dated November 16, 2001,
submitted herewith are the following documents for filing in the above-referenced application:

1. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63)
2. Statutory Basic filing fee of \$740.00
3. Late Filing Fee Surcharge of \$130.00
4. Additional Claims Fee of \$672.00
5. Multiple Dependent Claims Fee of \$280.00
6. Request for Corrected Filing Receipt

Please charge Deposit Account No. 500417 in the amount of \$1,822.00. To the extent
necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136. Please charge any

09/864,283

shortage in fees due in connection with the filing of this paper, including extension of time fees,
to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

MCDERMOTT, WILL & EMERY



Michael E. Fogarty
Registration No. 36,139

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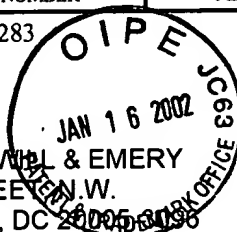


UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/864,283	05/25/2001	Katsumi Murai	43890-519

20277
MCDERMOTT WEL & EMERY
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WASHINGTON, DC 20005-3036



CONFIRMATION NO. 1236

FORMALITIES LETTER



OC00000007079617

Date Mailed: 11/16/2001

01/22/2002 SDIRETA1 80000094 500417 09864283 **NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

01 FC:101 740.00 CH
02 FC:102 672.00 CH
03 FC:104 280.00 CH
04 FC:105 130.00 CH

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. *\$740*
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is *\$910*. *\$952*
\$672 ■ *\$640* for 8 independent claims over 3 .
\$280 ■ *\$270* for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is *\$1750*. *\$1822*

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE